

**The Jordan Form.** For every integer  $k > 0$ , let  $J_k$  denote the  $k \times k$ -matrix obtained by augmenting the  $(k-1)$ -st identity matrix  $I_{k-1}$  by a trivial first column and last row (for  $k = 1$ , put  $J_1 = 0$ ). Left multiplication by  $J_k$  shifts the entries of a column upward (losing the first one and replacing the last one by 0); similarly, right multiplication by  $J_k$  shifts the entries of a row to the right (losing the last one and replacing the first one by 0). Therefore, if  $l < k$ , we have the following equation of  $k \times l$ -matrices:

$$J_k H_{kl} - H_{kl} J_l = E_{kl}, \quad (1)$$

where  $H_{kl}$  consists of the first  $l$  columns of  $J_k^T$ , and  $E_{kl}$  is zero except for a single 1 in the upper left corner.

All matrices to be considered will have entries in some fixed field  $F$  of scalars. The matrix  $B_k(\lambda) = \lambda I_k + J_k$ , with  $\lambda \in F$ , will be called the *Jordan block of degree  $k$  and eigenvalue  $\lambda$* . We shall prove the following fact.

**Theorem:** *Every upper triangular  $n \times n$ -matrix  $A$  is similar to a direct sum of Jordan blocks.*

The proof will hinge on several applications of the obvious conjugation

$$\begin{bmatrix} I_r & -X \\ 0 & I_s \end{bmatrix} \begin{bmatrix} A' & 0 \\ 0 & A'' \end{bmatrix} \begin{bmatrix} I_r & X \\ 0 & I_s \end{bmatrix} = \begin{bmatrix} A' & A'X - XA'' \\ 0 & A'' \end{bmatrix} \quad (2)$$

where  $A'$  and  $A''$  are square matrices of degrees  $r$  and  $s$ , respectively, and  $X$  is an  $r \times s$ -matrix, with  $n = r + s$ . Let us write  $U(X)$  for the  $n \times n$ -matrix with  $X$  in the upper right corner and zero elsewhere. Then (2) says: conjugating the direct sum  $A = A' \oplus A''$  with  $I_n + U(X)$  produces  $A + U(A'X - XA'')$ .

To prove the theorem, we imagine a counterexample  $A$  of minimal degree  $n > 1$ . Any conjugation  $A \mapsto M^{-1}AM$  would still make a counterexample, and so would any translation  $A \mapsto A + cI_n$ . Therefore we may suppose that the entry  $a_{11}$  is zero, in other words, that the first column of  $A$  is trivial. Thus  $A = (0 \oplus A^*) + U(R)$ , where  $A^*$  is an  $(n-1) \times (n-1)$  matrix, and  $R$  is an  $(n-1)$ -row. Now, the smaller matrix  $A^*$  *does* satisfy the theorem, and by conjugation on the last  $n-1$  rows and columns, we can get  $A^* = A_1 \oplus \cdots \oplus A_m$ , where the  $A_i$  are Jordan blocks.

At this point we can write  $A = (A' \oplus A'') + U(S)$ , where  $A'' = A_m$  and  $S$  is trivial below the first row. If we choose  $X$  in (2) with the same property, we get  $A'X = 0$  from the trivial first column of  $A'$ . Thus, conjugating  $A$  with  $I_n + U(X)$  yields  $A = (A' \oplus A'') + U(S - XA'')$  in all (see?).

If  $S - XA''$  could be made zero,  $A$  would not be a minimal counterexample. This eliminates any invertible  $A''$ . As we can arrange the Jordan blocks  $A_i$  in any order, we conclude that all their eigenvalues are zero, so that  $A_i = J_{k(i)}$  for all  $i$ . Since the row-space of  $A'' = J_s$  contains all vectors with trivial first coordinate,  $X$  can be chosen so as to make  $S - XA'' = a_m E_{rs}$  for some  $a_m \neq 0$ .

By suitably permutating the last  $n-1$  rows and columns, every one of the blocks  $A_i$  can be moved into the role of  $A''$  and given the same treatment, until finally the first row of  $A$  equals  $[0, R_1, \dots, R_m]$ , with  $R_i = a_i E_{1, k(i)}$ . For later, we arrange the indices so that  $k(1) \geq k(i)$  for all  $i$ .

Multiplying the first row of  $A$  by  $1/a_1$  (and its trivial first column by  $a_1$ ), we now make  $a_1 = 1$ . This enlarges the Jordan block  $A_1 = J_{k(1)}$  to  $B' = J_r$ , where  $r = k(1) + 1$ . Thus we can write our matrix as  $B = (B' \oplus B'') + U(Q)$ , where  $B'' = A_2 \oplus \cdots \oplus A_m$ . Partitioning the columns of the  $r \times (n-r)$ -matrix  $Q$  according to the same pattern, we get  $Q = [Q_2, \dots, Q_m]$ , where  $Q_i = a_i E_{r, k(i)}$ . We shall kill  $Q$  by conjugating with a suitable  $I_n + U(X)$ .

If we let  $X = [X_2, \dots, X_m]$ , such a conjugation will add  $B'X - XB''$  to  $Q$ , that is,  $J_r X_i - X_i J_{k(i)}$  in the columns corresponding to  $A_i$ . With  $X_i = -a_i H_{r, k(i)}$ , this expression yields exactly  $-Q_i$ , because of (1) and the fact that  $r = k(1) + 1 > k(i)$  (see?). This demolishes  $Q$  and the counterexample.